

MULTIPLE ZETA VALUES OVER GLOBAL FUNCTION FIELDS

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ABSTRACT. Let K be a global function field with finite constant field \mathbb{F}_q of order q . In this paper we develop the analytic theory of a multiple zeta function $Z_d(K; s_1, \dots, s_d)$ in d independent complex variables defined over K . This is the function field analog of the Euler-Zagier multiple zeta function $\zeta_d(s_1, \dots, s_d)$ of depth d ([Z1]). Our main result is that $Z_d(K; s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$ with a specified denominator.

1. INTRODUCTION AND STATEMENTS OF RESULTS

1. In [Z1] Zagier defined the multiple zeta function of depth d by

$$\zeta_d(s_1, \dots, s_d) = \sum_{0 < n_1 < \dots < n_d} n_1^{-s_1} \dots n_d^{-s_d}, \quad (s_1, \dots, s_d) \in \mathbb{C}^d,$$

which is absolutely convergent and analytic in the region

$$\operatorname{Re}(s_k + \dots + s_d) > d - k + 1, \quad k = 1, \dots, d. \quad (1.1)$$

He then defined the multiple zeta values of depth d by

$$\zeta_d(a_1, \dots, a_d) = \sum_{0 < n_1 < \dots < n_d} n_1^{-a_1} \dots n_d^{-a_d}, \quad a_k \in \mathbb{Z}_{\geq 1}, \quad a_d > 1,$$

and described in part the fundamental role these numbers play in geometry, number theory, and physics. This has continued to be revealed in the work of Drinfeld, Goncharov, Kontsevich, Manin, and Zagier, among others (see the discussion below).

In this paper we begin to develop a theory of multiple zeta values over global function fields. Our objective here is to develop the analytic properties of two new multiple zeta functions analogous to ζ_d . The first of these is associated to the polynomial ring $\mathbb{F}_q[T]$ over a finite field \mathbb{F}_q of order q , and the second of these is associated to a global function field K with finite constant field \mathbb{F}_q . We will prove that each of these functions has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$ with a specified denominator.

We now describe the main result of this paper. Let K be a global function field with finite constant field \mathbb{F}_q . For a divisor D of K , let $\deg(D)$ be its degree and

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$|D| = q^{\deg(D)}$ be its norm. Let \mathcal{D}_K^+ be the sub semi-group of effective divisors of K . We define the multiple zeta function of depth d over K by

$$Z_d(K; s_1, \dots, s_d) = \sum_{\substack{(D_1, \dots, D_d) \in \mathcal{D}_K^+ \times \dots \times \mathcal{D}_K^+ \\ 0 \leq \deg(D_1) \leq \dots \leq \deg(D_d)}} \prod_{k=1}^d |D_k|^{-s_k},$$

which is absolutely convergent and analytic in the region (1.1). Our main result is the following

Main Theorem. *The multiple zeta function $Z_d(K; s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$ with a specified denominator. Further, $Z_d(K; s_1, \dots, s_d)$ has possible simple poles on the linear subvarieties*

$$s_k + \dots + s_d = 0, 1, \dots, d - k + 1, \quad k = 1, \dots, d.$$

The proof of the main theorem rests on the Riemann-Roch theorem for global function fields.

In the remaining part of the introduction we want to provide some motivating background on the multiple zeta values over \mathbb{Q} and describe the results of this paper in more detail. As mentioned, the multiple zeta values and their generalizations, the multiple polylogarithms at N -th roots of unity,

$$\text{Li}_{a_1, \dots, a_d}(\zeta_N^{\alpha_1}, \dots, \zeta_N^{\alpha_d}) = \sum_{0 < n_1 < \dots < n_d} \frac{(\zeta_N^{\alpha_1})^{n_1} \dots (\zeta_N^{\alpha_d})^{n_d}}{n_1^{a_1} \dots n_d^{a_d}}, \quad \zeta_N = e^{2\pi i/N},$$

have been the focus of much attention in the past 15 years. In an important development, Kontsevich discovered that the multiple zeta values can be expressed as an iterated integral of Chen-type. This led to A. Goncharov's interpretation of the multiple zeta values as periods of mixed motives, and his remarkable work [G1, G2, G3, G4, G5] on mixed Tate motives over $\text{Spec}(\mathbb{Z})$ and proof of the upper bound in Zagier's dimension conjecture.

Zagier's dimension conjecture is perhaps the central problem in the subject. Recall that this is a statement about the non-trivial \mathbb{Q} -linear relations which arise between multiple zeta values of the same weight (see [Z1]). Let Z_w denote the \mathbb{Q} -algebra generated by all multiple zeta values of weight $w = a_1 + \dots + a_d$. The dimension conjecture states that $\dim_{\mathbb{Q}} Z_w = d_w$, where $d_0 = 1$, $d_1 = 0$, $d_2 = 1$, and $d_w = d_{w-2} + d_{w-3}$ for $w \geq 3$. For $w \geq 2$, this formula implies that d_w is less than or equal to 2^{w-2} , the number of multiple zeta values of weight w , and hence that there are many non-trivial \mathbb{Q} -linear relations between multiple zeta values of the same weight. Goncharov proved the inequality $\dim_{\mathbb{Q}} Z_w \leq d_w$.

Additional interesting work on the multiple zeta values and related topics can be found in Drinfeld [D], Goncharov and Manin [GM], Kontsevich [K1, K2], Manin [Ma1, Ma2], and Zagier [Z2]. The particularly nice survey article of Kontsevich and Zagier [KZ] discusses the multiple zeta values in the more general context of periods and special values of L -functions.

There has also been a great deal of attention focused on the *analytic* properties of multiple zeta functions. For example, the meromorphic continuation of ζ_d to all (s_1, \dots, s_d) in \mathbb{C}^d was first established by Goncharov and Kontsevich in [G4]. The existence of such a meromorphic continuation was originally obscured by the presence of points of indeterminacy, which are special types of singularities arising in several complex variables (see [M]). Goncharov and Kontsevich established the meromorphic continuation by using a d -dimensional Mellin transform to express ζ_d as the pairing of a meromorphic distribution and a test function in a certain modified Schwartz class. These methods were subsequently extended by J. Kelliher and the author in [KM] to give a sufficient condition for the meromorphic continuation of a more general class of multiple Dirichlet series of Euler-Zagier type.

2. Let $\mathbb{F}_q[T]$ be the ring of polynomials with coefficients in the finite field \mathbb{F}_q of order q . For f in $\mathbb{F}_q[T]$, let $\deg(f)$ be its degree and $|f| = q^{\deg(f)}$. Recall that the zeta function of $\mathbb{F}_q[T]$ is defined by

$$Z(\mathbb{F}_q[T], s) = \sum_{\substack{f \in \mathbb{F}_q[T] \\ f \text{ monic}}} |f|^{-s}, \quad \operatorname{Re}(s) > 1.$$

We formally define the multiple zeta function of depth d over $\mathbb{F}_q[T]$ by

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \sum_{\substack{(f_1, \dots, f_d) \in \mathbb{F}_q[T] \times \dots \times \mathbb{F}_q[T] \\ f_1, \dots, f_d \text{ monic} \\ 0 \leq \deg(f_1) \leq \dots \leq \deg(f_d)}} \prod_{k=1}^d |f_k|^{-s_k}. \quad (1.2)$$

The following result establishes the analytic properties of (1.2).

Theorem 1.1. (1) $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ is absolutely convergent and analytic in the region (1.1).

(2) $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$. In fact,

$$\prod_{k=1}^d (1 - q^{d-k+1-(s_k+\dots+s_d)}) Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = 1.$$

(3) $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has simple poles on the linear subvarieties

$$s_k + \dots + s_d = d - k + 1, \quad k = 1, \dots, d.$$

(4) The function

$$\xi_d(\mathbb{F}_q[T]; s_1, \dots, s_d) := \prod_{k=1}^d \frac{q^{-(s_k+\dots+s_d-(d-k))}}{1 - q^{-(s_k+\dots+s_d-(d-k))}} Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$$

is invariant under the involution

$$s_1 \mapsto 2d - 1 - 2(s_2 + \dots + s_d) - s_1.$$

(5) $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has the Euler product

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \prod_{\substack{P \in \mathbb{F}_q[T] \\ P \text{ monic} \\ P \text{ irreducible}}} \prod_{k=1}^d \left(1 - \frac{1}{|P|^{s_k + \dots + s_d - (d-k)}} \right)^{-1}.$$

Remark 1.2. $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ seems to be the first example of a Zagier type multiple zeta function possessing a functional equation and Euler product. In fact, it is not difficult to see that ζ_d cannot satisfy a functional equation in any of the independent variables s_1, \dots, s_d . This is because of a shifting in the variables n_1, \dots, n_d which occurs if one expresses ζ_d as a sum over $\mathbb{Z}_{\geq 1}^d$.

Remark 1.3. It is possible to compute the residues at the simple poles on the linear subvarieties

$$s_k + \dots + s_d = d - k + 1, \quad k = 1, \dots, d.$$

For example, suppose $d = 2$. A straightforward application of L'Hopital's rule shows that the double zeta function $Z_2(\mathbb{F}_q[T]; s, w)$ has simple poles on the linear subvarieties $s + w = 2$ and $w = 1$ with the following residues:

(1) For $s \neq 1$,

$$\lim_{w \rightarrow 1} (w - 1) Z_2(\mathbb{F}_q[T]; s, w) = \frac{(1 - q^{1-s})^{-1}}{\log(q)}.$$

(2) For $w \neq 1$,

$$\lim_{s \rightarrow 2-w} (s - (2 - w)) Z_2(\mathbb{F}_q[T]; s, w) = \frac{(1 - q^{1-w})^{-1}}{\log(q)}.$$

(3) For $s \neq 1$,

$$\lim_{w \rightarrow 2-s} (w - (2 - s)) Z_2(\mathbb{F}_q[T]; s, w) = \frac{(1 - q^{s-1})^{-1}}{\log(q)}.$$

From Theorem 1.1 we obtain the following factorization of $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$.

Corollary 1.4. $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has the factorization

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \prod_{k=1}^d Z(\mathbb{F}_q[T], s_k + \dots + s_d - (d - k)).$$

Proof. This follows from Theorem 1.1, part (2), and the identity $Z(\mathbb{F}_q[T], s) = 1/(1 - q^{1-s})$. \square

Corollary 1.5. $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has no zeros in \mathbb{C}^d .

Proof. This follows from Corollary 1.4 and the fact that $Z(\mathbb{F}_q[T], s)$ has no zeros in \mathbb{C} . \square

Remark 1.6. Upon examining the proof of part (4) of Theorem 1.1, one sees that the functional equation is actually satisfied by

$$\frac{q^{-(s_1+\dots+s_d-(d-1))}}{1-q^{-(s_1+\dots+s_d-(d-1))}} Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d).$$

However, as suggested by Corollary 1.4, by including the factors

$$\frac{q^{-(s_k+\dots+s_d-(d-k))}}{1-q^{-(s_k+\dots+s_d-(d-k))}}, \quad k = 2, \dots, d,$$

we can obtain additional functional relations. These are no longer involutions, but instead involve a mixing of the variables. For example, suppose $d = 2$. Let

$$w \mapsto 1 - w$$

in

$$\xi_2(\mathbb{F}_q[T]; s, w).$$

Then arguing as in the proof of part (4) of Theorem 1.1, we obtain the functional relation

$$\xi_2(\mathbb{F}_q[T]; s, 1 - w) = \xi_2(\mathbb{F}_q[T]; s - 2w + 1, w).$$

3. We now recall some background on function fields. A function field in one variable over a constant field F is a field K containing F and at least one element x transcendental over F such that $K/F(x)$ is a finite algebraic extension. A function field in one variable over a finite constant field $F = \mathbb{F}_q$ is called a global function field. Throughout this paper we assume that K is a global function field.

A prime in K is a discrete valuation ring R with maximal ideal P such that $\mathbb{F}_q \subset R$ and the quotient field of R equals K . The degree $\deg(P)$ of P is the dimension of R/P over \mathbb{F}_q , which is finite. The group \mathcal{D}_K of divisors of K is the free abelian group generated by the primes in K . A typical divisor D is written additively by $D = \sum_P a(P)P$. The degree of D is defined by $\deg(D) = \sum_P a(P)\deg(P)$.

Given $a \in K^*$, the divisor (a) of a is defined by $(a) = \sum_P \text{ord}_P(a)P$. The map $K^* \rightarrow \mathcal{D}_K$ defined by $a \mapsto (a)$ is a homomorphism whose image \mathcal{P}_K is the group of principal divisors. Two divisors D_1 and D_2 are linearly equivalent $D_1 \sim D_2$ if their difference is principal; that is, $D_1 - D_2 = (a)$ for some $a \in K^*$. Define the divisor class group by $Cl_K = \mathcal{D}_K / \mathcal{P}_K$.

It can be shown that the degree of a principal divisor is zero (see [R], Proposition 5.1). Thus, the degree map $\deg : Cl_K \rightarrow \mathbb{Z}$ is a homomorphism. Let $\ker(\deg) = Cl_K^0$ be the group of divisor classes of degree zero. It can also be shown that the number $|Cl_K^0|$ of divisor classes of degree zero is finite (see [R], Lemma 5.6). Define the class number of K to be $h_K = |Cl_K^0|$. Because K has divisors of degree one (see [S]), we obtain the exact sequence

$$0 \rightarrow Cl_K^0 \rightarrow Cl_K \rightarrow \mathbb{Z} \rightarrow 0.$$

A divisor D is effective if $a(P) \geq 0$ for all P . We denote this by $D \geq 0$. Given a divisor D , let

$$L(D) = \{x \in K^* : (x) + D \geq 0\} \cup \{0\}.$$

It can be shown that $L(D)$ is a finite dimensional vector space over \mathbb{F}_q . Let $l(D)$ the dimension of $L(D)$ over \mathbb{F}_q .

We are now in a position to state the following form of the Riemann-Roch theorem for global function fields.

Theorem 1.7 (Riemann-Roch). *There is an integer $g \geq 0$ and a divisor class \mathcal{C} such that for $C \in \mathcal{C}$ and $A \in \mathcal{D}_K$ we have*

$$l(A) = \deg(A) - g + 1 + l(C - A).$$

The integer g , which is uniquely determined by K , is called the genus of K .

4. For a divisor D of K , let $|D| = q^{\deg(D)}$ be its norm. Then $|D|$ is a positive integer, and for any two divisors D_1 and D_2 , $|D_1 + D_2| = |D_1| |D_2|$. Let \mathcal{D}_K^+ be the sub semi-group of effective divisors of K . Recall that the zeta function of K is defined by

$$Z(K, s) = \sum_{D \in \mathcal{D}_K^+} |D|^{-s}, \quad \text{Re}(s) > 1.$$

We formally define the multiple zeta function of depth d over K by

$$Z_d(K; s_1, \dots, s_d) = \sum_{\substack{(D_1, \dots, D_d) \in \mathcal{D}_K^+ \times \dots \times \mathcal{D}_K^+ \\ 0 \leq \deg(D_1) \leq \dots \leq \deg(D_d)}} \prod_{k=1}^d |D_k|^{-s_k}. \quad (1.3)$$

If K is a global function field of genus $g = 0$, then $K = \mathbb{F}_q(T)$ is the rational function field. The following result establishes the analytic properties of (1.3) in the case $K = \mathbb{F}_q(T)$.

Theorem 1.8. (1) $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ is absolutely convergent and analytic in the region (1.1).

(2) $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$. In fact,

$$Q(q^{-s_1}, \dots, q^{-s_d}) Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$$

is a polynomial of degree $\leq 2d - 1$ in each of $q^{-s_1}, \dots, q^{-s_d}$, where

$$\begin{aligned} Q(q^{-s_1}, \dots, q^{-s_d}) &= (q - 1)^d (1 - q^{-s_d}) (1 - q^{1-s_d}) \\ &\times \prod_{k=1}^{d-1} (1 - q^{-(s_k + \dots + s_d)}) (1 - q^{1-(s_k + \dots + s_d)}) (1 - q^{2-(s_k + \dots + s_d)}). \end{aligned}$$

(3) $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ has possible simple poles on the linear subvarieties

$$s_k + \dots + s_d = 0, 1, \dots, d - k + 1, \quad k = 1, \dots, d.$$

Recall that a multiple zeta value is said to be reducible (completely reducible) if it can be written as a rational linear combination of products of lower depth (depth one) multiple zeta values. The following corollary shows that $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ is always a rational linear combination of products of 1-dimensional zeta functions over $\mathbb{F}_q[T]$.

Corollary 1.9. $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ is a rational linear combination of products of zeta functions of the type

$$Z(\mathbb{F}_q[T], s_k + \dots + s_d - 1), \quad Z(\mathbb{F}_q[T], s_k + \dots + s_d), \quad Z(\mathbb{F}_q[T], s_k + \dots + s_d + 1),$$

where $k = 1, \dots, d$.

Corollary 1.9 is in stark contrast to what occurs for the multiple zeta values, where one must place restrictions on the depth d and weight w to guarantee reducibility. For example, when the depth d and weight w of a multiple zeta value have different parity, the multiple zeta value is reducible (see [T]). As a first instance of this, there is the following fact due to Euler and Zagier: *Every double zeta value $\zeta_2(a, b)$ of odd weight $k = a + b$ is a rational linear combination of the numbers $\zeta(k)$ and $\zeta(r)\zeta(k - r)$ where $2 \leq r \leq k/2$.*

We illustrate the type of decomposition one obtains in Corollary 1.9 in the following 2-dimensional example.

Example 1.10. The double zeta function $Z_2(\mathbb{F}_q(T); s, w)$ has the following decomposition as a rational linear combination of products of 1-dimensional zeta functions over $\mathbb{F}_q[T]$:

$$\begin{aligned} Z_2(\mathbb{F}_q(T); s, w) &= \frac{q^2}{(q-1)^2} Z(\mathbb{F}_q[T], s + w - 1) Z(\mathbb{F}_q[T], w) \\ &\quad - \frac{q}{(q-1)^2} Z(\mathbb{F}_q[T], s + w) Z(\mathbb{F}_q[T], w + 1) \\ &\quad - \frac{q}{(q-1)^2} Z(\mathbb{F}_q[T], s + w) Z(\mathbb{F}_q[T], w) \\ &\quad + \frac{1}{(q-1)^2} Z(\mathbb{F}_q[T], s + w + 1) Z(\mathbb{F}_q[T], w + 1). \end{aligned}$$

5. If K is a global function field of genus $g \geq 1$, $Z_d(K; s_1, \dots, s_d)$ no longer decomposes as a rational linear combination of 1-dimensional zeta functions. This indicates a more complicated arithmetic structure. The following result establishes the analytic properties of (1.3) in the case K has genus $g \geq 1$.

Theorem 1.11. Let K be a global function field of genus $g \geq 1$.

- (1) $Z_d(K; s_1, \dots, s_d)$ is absolutely convergent and analytic in the region (1.1).
- (2) $Z_d(K; s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in each of $q^{-s_1}, \dots, q^{-s_d}$ with a specified denominator.
- (3) $Z_d(K; s_1, \dots, s_d)$ has possible simple poles on the linear subvarieties

$$s_k + \dots + s_d = 0, 1, \dots, d - k + 1, \quad k = 1, \dots, d.$$

As indicated in Theorem 1.11, part (2), the denominator of the rational function $Z_d(K; s_1, \dots, s_d)$ can always be specified. The following result illustrates this in 2-dimensions.

Corollary 1.12. *Let K be a global function field of genus $g \geq 1$. Let $u = q^{-(s+w)}$ and $v = q^{-w}$. Then*

$$Z_2(K; s, w) = \frac{P(u, v)}{Q(u, v)},$$

where

$$P(u, v) \in \mathbb{Q}[u, v]$$

is a polynomial of degree $\leq 2g + 1$ in u and degree $\leq (1 + 2 + \dots + 2g) + 2g - 2$ in v , and

$$Q(u, v) = (1 - u)(1 - qu)(1 - q^2u)(1 - v)(1 - qv) \prod_{n=0}^{2g-2} v^n \in \mathbb{Z}[u, v].$$

Remark 1.13. The polynomial $P(u, v)$ can be given explicitly as follows. Let b_n be the number of effective divisors of K of degree n . Let

$$\begin{aligned} P_1(u, v) &= Q(u, v) \sum_{n=0}^{2g-2} \sum_{m=0}^{2g-2-n} b_n b_{m+n} u^n v^m, \\ P_2(u, v) &= \frac{h_K}{q-1} (1-u)(1-qu)(1-q^2u) [q^g(1-v) - (1-qv)] v^{2g-1} \sum_{k=0}^{2g-2} b_k u^k \prod_{\substack{n=0 \\ n \neq k}}^{2g-2} v^n, \\ P_3(u, v) &= \frac{h_K^2}{(q-1)^2} \prod_{n=0}^{2g-2} v^n \times \\ &\quad \left[(1-qu)(1-v)(1-u) (q^2)^g - (1-q^2u) (1-v)(1-u) q^g \right. \\ &\quad \left. - (1-qv) (1-q^2u) (1-u) q^g + (1-qv) (1-q^2u) (1-qu) \right] u^{2g-1}. \end{aligned}$$

Then

$$P(u, v) = P_1(u, v) + P_2(u, v) + P_3(u, v).$$

Remark 1.14. The rational function $P(u, v)/Q(u, v)$ depends in a complicated way on the function field K . For example, if K has genus $g = 1$, then

$$\begin{aligned} Z_2(K; s, w) &= Z(K, w) + \frac{h_K^2}{(q-1)^2} \frac{\prod_{n=0}^{2g-2} v^n}{Q(u, v)} \times \\ &\quad \left[(1-qu)(1-v)(1-u) q^2 - (1-q^2u) (1-v)(1-u) q^g \right. \\ &\quad \left. - (1-qv) (1-q^2u) (1-u) q^g + (1-qv) (1-q^2u) (1-qu) \right] u^{2g-1}. \end{aligned}$$

6. In conclusion, we want to emphasize that $Z_d(K; s_1, \dots, s_d)$ provides ample opportunity for further research. Three potentially interesting questions are the following. First, do the special values $Z_d(K; a_1, \dots, a_d)$, $a_k \in \mathbb{Z}_{\geq 1}$, $a_d > 1$, have a Chen-type

integral representation analogous to $\zeta_d(a_1, \dots, a_d)$, and if so, does this lead to a cohomological interpretation of these special values? Second, in 1-dimension the polynomial appearing in the numerator of the rational function $Z(K, s)$ is the characteristic polynomial of the action of the Frobenius automorphism on the Tate module (see [R], pg. 275). Is there a similar interpretation of the polynomial $P(u, v)$? Third, for K of genus $g \geq 1$, can anything in general be said about the dependence of the rational function $Z_d(K; s_1, \dots, s_d)$ on the function field K ?

This paper is organized as follows. In section 2 we prove Theorem 1.1. In section 3 we prove Theorem 1.8 and Corollary 1.9. Finally, in section 4 we prove Theorem 1.11 and Corollary 1.12.

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2. PROOF OF THEOREM 1.1

For future reference we summarize the analytic properties of $Z(\mathbb{F}_q[T], s)$. We refer to these as properties 1-4.

- (1) $Z(\mathbb{F}_q[T], s)$ has a meromorphic continuation to all s in \mathbb{C} and is a rational function in q^{-s} . In fact, $Z(\mathbb{F}_q[T], s) = 1/(1 - q^{1-s})$.
- (2) $Z(\mathbb{F}_q[T], s)$ has a simple pole at $s = 1$ with residue $1/\log(q)$.
- (3) The function

$$\xi(\mathbb{F}_q[T], s) := \frac{q^{-s}}{1 - q^{-s}} Z(\mathbb{F}_q[T], s)$$

satisfies the functional equation

$$\xi(\mathbb{F}_q[T], 1 - s) = \xi(\mathbb{F}_q[T], s).$$

- (4) $Z(\mathbb{F}_q[T], s)$ has the Euler product

$$Z(\mathbb{F}_q[T], s) = \prod_{\substack{P \in \mathbb{F}_q[T] \\ P \text{ monic} \\ P \text{ irreducible}}} \left(1 - \frac{1}{|P|^s}\right)^{-1}.$$

The following fact will be needed in the proof of Theorem 1.1.

Lemma 2.1. *The number of monic polynomials of degree n in $\mathbb{F}_q[T]$ is q^n .*

Proof of Theorem 1.1. Given a set X , let $|X|$ denote the number of elements in X . Define the nonnegative integers

$$a_{n_1, \dots, n_d} = \left| \left\{ (f_1, \dots, f_d) \in \mathbb{F}_q[T]^d : f_k \text{ monic, } \deg(f_k) = n_k, k = 1, \dots, d \right\} \right|,$$

and

$$b_{n_k} = \left| \left\{ f_k \in \mathbb{F}_q[T] : f_k \text{ monic, } \deg(f_k) = n_k \right\} \right|.$$

Then

$$a_{n_1, \dots, n_d} = \prod_{k=1}^n b_{n_k},$$

so that formally,

$$\begin{aligned} Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) &= \sum_{0 \leq n_1 \leq \dots \leq n_d} a_{n_1, \dots, n_d} \prod_{k=1}^d (q^{n_k})^{-s_k} \\ &= \sum_{0 \leq n_1 \leq \dots \leq n_d} \prod_{k=1}^d b_{n_k} (q^{n_k})^{-s_k}. \end{aligned}$$

The last sum can be expressed as

$$\sum_{0 \leq n_1 \leq \dots \leq n_d} \prod_{k=1}^d b_{n_k} (q^{n_k})^{-s_k} = \sum_{n_1=0}^{\infty} \dots \sum_{n_d=0}^{\infty} \prod_{k=1}^d b_{n_1 + \dots + n_k} (q^{-s_k})^{n_1 + \dots + n_k}.$$

Therefore,

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \sum_{n_1=0}^{\infty} \dots \sum_{n_d=0}^{\infty} \prod_{k=1}^d b_{n_1 + \dots + n_k} (q^{-s_k})^{n_1 + \dots + n_k}. \quad (2.1)$$

By Lemma 2.1,

$$b_{n_1 + \dots + n_k} = q^{n_1 + \dots + n_k},$$

so that

$$\begin{aligned} \prod_{k=1}^d b_{n_1 + \dots + n_k} (q^{-s_k})^{n_1 + \dots + n_k} &= \prod_{k=1}^d q^{n_1 + \dots + n_k} (q^{-s_k})^{n_1 + \dots + n_k} \\ &= \prod_{k=1}^d (q^{d-k+1})^{n_k} (q^{-(s_k + \dots + s_d)})^{n_k} \\ &= \prod_{k=1}^d (q^{d-k+1-(s_k + \dots + s_d)})^{n_k}. \end{aligned}$$

Thus, if

$$\operatorname{Re}(s_k + \dots + s_d) > d - k + 1, \quad k = 1, \dots, d,$$

substituting in (2.1) and summing geometric series yields

$$\begin{aligned} Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) &= \prod_{k=1}^d \sum_{n_k=0}^{\infty} (q^{d-k+1-(s_k + \dots + s_d)})^{n_k} \\ &= \prod_{k=1}^d (1 - q^{d-k+1-(s_k + \dots + s_d)})^{-1}. \end{aligned} \quad (2.2)$$

This proves (1).

It follows from (2.2) that $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in $q^{-s_1}, \dots, q^{-s_d}$ with

$$\prod_{k=1}^d (1 - q^{d-k+1-(s_k+\dots+s_d)}) Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = 1.$$

This proves (2).

It also follows from (2.2) that $Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d)$ has simple poles on the linear subvarieties

$$s_k + \dots + s_d = d - k + 1, \quad k = 1, \dots, d.$$

This proves (3).

Write

$$d - k + 1 - (s_k + \dots + s_d) = 1 - (s_k + \dots + s_d - (d - k)).$$

Then (2.2) and property 1 yield the factorization

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \prod_{k=1}^d Z(\mathbb{F}_q[T], s_k + \dots + s_d - (d - k)). \quad (2.3)$$

From the definition of $\xi(\mathbb{F}_q[T], s)$ and (2.3) we obtain

$$\xi_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \prod_{k=1}^d \xi(\mathbb{F}_q[T], s_k + \dots + s_d - (d - k)). \quad (2.4)$$

From property 3 we obtain the functional equation

$$\xi(\mathbb{F}_q[T], 1 - s_1 + \dots + s_d - (d - 1)) = \xi(\mathbb{F}_q[T], s_1 + \dots + s_d - (d - 1)),$$

or equivalently,

$$\xi(\mathbb{F}_q[T], d - (s_1 + \dots + s_d)) = \xi(\mathbb{F}_q[T], s_1 + \dots + s_d - (d - 1)). \quad (2.5)$$

Let

$$s_1 \mapsto -s_1 - 2(s_2 + \dots + s_d) + 2d - 1$$

in

$$\xi_d(\mathbb{F}_q[T]; s_1, \dots, s_d).$$

It follows that

$$\begin{aligned}
& \xi_d(\mathbb{F}_q[T]; -s_1 - 2(s_2 + \cdots + s_d) + 2d - 1, \dots, s_d) \\
&= \xi(\mathbb{F}_q[T], (-s_1 - 2(s_2 + \cdots + s_d) + 2d - 1) + s_2 + \cdots + s_d - (d - 1)) \\
&\quad \times \prod_{k=2}^d \xi(\mathbb{F}_q[T], s_k + \cdots + s_d - (d - k)) \\
&= \xi(\mathbb{F}_q[T], d - (s_1 + \cdots + s_d)) \prod_{k=2}^d \xi(\mathbb{F}_q[T], s_k + \cdots + s_d - (d - k)) \\
&= \xi(\mathbb{F}_q[T], s_1 + \cdots + s_d - (d - 1)) \prod_{k=2}^d \xi(\mathbb{F}_q[T], s_k + \cdots + s_d - (d - k)) \quad (\text{from (2.5)}) \\
&= \prod_{k=1}^d \xi(\mathbb{F}_q[T], s_k + \cdots + s_d - (d - k)) \\
&= \xi_d(\mathbb{F}_q[T]; s_1, \dots, s_d) \quad (\text{from (2.4)}).
\end{aligned}$$

This proves (4).

Finally, from the factorization (2.3) and property 4 we obtain the Euler product

$$Z_d(\mathbb{F}_q[T]; s_1, \dots, s_d) = \prod_{\substack{P \in \mathbb{F}_q[T] \\ P \text{ monic} \\ P \text{ irreducible}}} \prod_{k=1}^d \left(1 - \frac{1}{|P|^{s_k + \cdots + s_d - (d - k)}} \right)^{-1}.$$

This proves (5). □

3. PROOFS OF THEOREM 1.8 AND COROLLARY 1.9

It can be shown that for all integers $n \geq 0$, the number b_n of effective divisors of degree n is finite (see [R], Lemma 5.5). It is known that if n is sufficiently large compared to the genus of K , this number takes an explicit form.

Proposition 3.1. *For all nonnegative integers $n > 2g - 2$,*

$$b_n = h_K \frac{q^{n-g+1} - 1}{q - 1}.$$

We include a proof for convenience.

Proof. Let A be a divisor and \overline{A} be its divisor class. We will need the following two facts.

(1) The number of effective divisors in \overline{A} is

$$\frac{q^{l(A)} - 1}{q - 1}.$$

(2) If $\deg(A) > 2g - 2$, then $l(A) = \deg(A) - g + 1$.

Fact 1 is [R], Lemma 5.7.

To prove Fact 2, first observe that by Theorem 1.7, Fact 2 is equivalent to $l(C - A) = 0$. Now, by Theorem 1.7 with $A = C$, $\deg(C) = l(C) + g - 2$ (here we used that $l(0) = 1$), and by Theorem 1.7 with $A = 0$, $l(C) = g$. Thus, $\deg(C) = 2g - 2$. Since we have assumed that $\deg(A) > 2g - 2$, it follows that

$$\deg(C - A) = \deg(C) - \deg(A) < 2g - 2 + 2 - 2g = 0.$$

Finally, by [R], Lemma 5.3, $\deg(C - A) < 0$ implies that $l(C - A) = 0$. This proves Fact 2.

We can now prove the proposition. From the exact sequence

$$0 \rightarrow Cl_K^0 \rightarrow Cl_K \rightarrow \mathbb{Z} \rightarrow 0$$

we conclude that for each nonnegative integer n , there are $h_K = |Cl_K^0|$ divisor classes of degree n . List these as $\{\overline{A}_1, \dots, \overline{A}_{h_K}\}$. By Fact 1, the number of effective divisors in \overline{A}_i is

$$\frac{q^{l(A_i)} - 1}{q - 1}.$$

Therefore,

$$b_n = \sum_{i=1}^{h_K} \frac{q^{l(A_i)} - 1}{q - 1}.$$

Assume that $n = \deg(A_i) > 2g - 2$. By Fact 2, $l(A_i) = n - g + 1$. We conclude that

$$b_n = h_K \frac{q^{n-g+1} - 1}{q - 1}.$$

□

Proof of Theorem 1.8. Define the nonnegative integers

$$a_{n_1, \dots, n_d} = \left| \{ (D_1, \dots, D_d) \in D_K^+ \times \dots \times D_K^+ : \deg(D_k) = n_k, k = 1, \dots, d \} \right|,$$

and

$$b_{n_k} = \left| \{ D_k \in D_K^+ : \deg(D_k) = n_k \} \right|.$$

Then

$$a_{n_1, \dots, n_d} = \prod_{k=1}^d b_{n_k},$$

so that formally,

$$Z_d(\mathbb{F}_q(T); s_1, \dots, s_d) = \sum_{n_1=0}^{\infty} \dots \sum_{n_d=0}^{\infty} \prod_{k=1}^d b_{n_1+\dots+n_k} (q^{-s_k})^{n_1+\dots+n_k}. \quad (3.1)$$

By Proposition 3.1,

$$|b_{n_1+\dots+n_k}| \leq C_k q^{n_1+\dots+n_k}$$

for some constants $C_k > 0$, $k = 1, \dots, d$. This yields the estimate

$$\begin{aligned} \prod_{k=1}^d \left| b_{n_1+\dots+n_k} (q^{-s_k})^{n_1+\dots+n_k} \right| &\leq \prod_{k=1}^d C_k \left| q^{n_1+\dots+n_k} (q^{-s_k})^{n_1+\dots+n_k} \right| \\ &= \prod_{k=1}^d C_k (q^{d-k+1-\operatorname{Re}(s_k+\dots+s_d)})^{n_k}. \end{aligned}$$

Thus, if

$$\operatorname{Re}(s_k + \dots + s_d) > d - k + 1, \quad k = 1, \dots, d,$$

substituting in (3.1) and summing geometric series yields

$$\begin{aligned} \sum_{n_1=0}^{\infty} \dots \sum_{n_d=0}^{\infty} \prod_{k=1}^d \left| b_{n_1+\dots+n_k} (q^{-s_k})^{n_1+\dots+n_k} \right| &\leq \prod_{k=1}^d C_k \sum_{n_k=0}^{\infty} q^{d-k+1-\operatorname{Re}(s_k+\dots+s_d)} \\ &= \prod_{k=1}^d C_k (1 - q^{d-k+1-\operatorname{Re}(s_k+\dots+s_d)})^{-1}. \end{aligned}$$

This proves (1) for any global function field.

Suppose first that $d = 2$. Because $\mathbb{F}_q(T)$ has genus $g = 0$ and class number $h_{\mathbb{F}_q(T)} = 1$, Proposition 3.1 implies that

$$b_n = \frac{q^{n+1} - 1}{q - 1}$$

for all integers $n \geq 0$. Then

$$\begin{aligned} &b_n b_{n+m} (q^{-s})^n (q^{-w})^{n+m} \\ &= \frac{1}{(q-1)^2} (q^{n+1} - 1) (q^{n+m+1} - 1) (q^{-s})^n (q^{-w})^{n+m} \\ &= \frac{1}{(q-1)^2} [q^{n+1} q^{n+m+1} - q^{n+1} - q^{n+m+1} + 1] (q^{-(s+w)})^n (q^{-w})^m \end{aligned}$$

for all integers $n, m \geq 0$. Substitute in (3.1) and expand to obtain

$$\begin{aligned} &(q-1)^2 Z_2(\mathbb{F}_q(T); s, w) \\ &= q^2 \sum_{n=0}^{\infty} (q^{2-(s+w)})^n \sum_{m=0}^{\infty} (q^{1-w})^m - q \sum_{n=0}^{\infty} (q^{1-(s+w)})^n \sum_{m=0}^{\infty} (q^{-w})^m \\ &\quad - q \sum_{n=0}^{\infty} (q^{1-(s+w)})^n \sum_{m=0}^{\infty} (q^{1-w})^m + \sum_{n=0}^{\infty} (q^{-(s+w)})^n \sum_{m=0}^{\infty} (q^{-w})^m. \end{aligned}$$

Summing geometric series in the preceding expression yields

$$Z_2(\mathbb{F}_q(T); s, w) = \frac{q^2}{(q-1)^2} \frac{1}{1-q^{2-(s+w)}} \frac{1}{1-q^{1-w}} - \frac{q}{(q-1)^2} \frac{1}{1-q^{1-(s+w)}} \frac{1}{1-q^{-w}} - \frac{q}{(q-1)^2} \frac{1}{1-q^{1-(s+w)}} \frac{1}{1-q^{1-w}} + \frac{1}{(q-1)^2} \frac{1}{1-q^{-(s+w)}} \frac{1}{1-q^{-w}}. \quad (3.2)$$

It follows from (3.2) that $Z_2(\mathbb{F}_q(T); s, w)$ has a meromorphic continuation to all (s, w) in \mathbb{C}^2 and is a rational function in q^{-s} and q^{-w} .

Let

$$Q(q^{-s}, q^{-w}) = (q-1)^2 (1-q^{-(s+w)}) (1-q^{1-(s+w)}) (2-q^{-(s+w)}) (1-q^{-w}) (1-q^{1-w}).$$

Multiply both sides of (3.2) by $Q(q^{-s}, q^{-w})$ to obtain

$$\begin{aligned} Q(q^{-s}, q^{-w}) Z_2(\mathbb{F}_q(T); s, w) = & q^2 (1-q^{-(s+w)}) (1-q^{1-(s+w)}) (1-q^{-w}) \\ & - q (1-q^{-(s+w)}) (1-q^{2-(s+w)}) (1-q^{1-w}) \\ & - q (1-q^{-(s+w)}) (1-q^{2-(s+w)}) (1-q^{-w}) \\ & + (1-q^{1-(s+w)}) (1-q^{2-(s+w)}) (1-q^{1-w}). \end{aligned}$$

Thus,

$$Q(q^{-s}, q^{-w}) Z_2(\mathbb{F}_q(T); s, w)$$

is polynomial of degree ≤ 3 in each of q^{-s} and q^{-w} . This proves (2).

It also follows from (3.2) that $Z_2(\mathbb{F}_q(T); s, w)$ has possible simple poles on the linear subvarieties $s+w=0, 1, 2$ and $w=0, 1$. This proves (3).

The proof for $d \geq 3$ is analogous. Substitute the product

$$\prod_{k=1}^d b_{n_1+\dots+n_k} = \frac{1}{(q-1)^d} \prod_{k=1}^d (q^{n_1+\dots+n_k+1} - 1)$$

into (3.1), expand, and sum geometric series to obtain

$$Z_d(\mathbb{F}_q(T); s_1, \dots, s_d) = \sum_{i=1}^{2^d} R_i(q^{-s_1}, \dots, q^{-s_d}), \quad (3.3)$$

where $R_i(q^{-s_1}, \dots, q^{-s_d})$ is a rational function which is a product of one function from each of the sets

$$\begin{aligned} & \{(q-1)^{-3}, \dots, (q-1)^{-d}\}, \\ & \{q, \dots, q^d\}, \\ & \{(1-q^{-s_d})^{-1}, (1-q^{1-s_d})^{-1}\}, \end{aligned}$$

and $d - 1$ functions from the set

$$\left\{ \left(1 - q^{-(s_k + \dots + s_d)}\right)^{-1}, \left(1 - q^{1-(s_k + \dots + s_d)}\right)^{-1}, \left(1 - q^{2-(s_k + \dots + s_d)}\right)^{-1} : k = 1, \dots, d - 1. \right\}$$

It follows from (3.3) that $Z_d(\mathbb{F}_q(T); s_1, \dots, s_d)$ has a meromorphic continuation to all (s_1, \dots, s_d) in \mathbb{C}^d and is a rational function in $q^{-s_1}, \dots, q^{-s_d}$.

Let

$$\begin{aligned} Q(q^{-s_1}, \dots, q^{-s_d}) &= (q - 1)^d (1 - q^{-s_d}) (1 - q^{1-s_d}) \\ &\times \prod_{k=1}^{d-1} (1 - q^{-(s_k + \dots + s_d)}) (1 - q^{1-(s_k + \dots + s_d)}) (1 - q^{2-(s_k + \dots + s_d)}). \end{aligned}$$

Then it is not difficult to show that

$$Q(q^{-s_1}, \dots, q^{-s_d}) R_i(q^{-s_1}, \dots, q^{-s_d})$$

is a polynomial of degree $\leq 2d - 1$ in each of $q^{-s_1}, \dots, q^{-s_d}$.

Finally, it follows from the explicit form of the functions $R_i(q^{-s_1}, \dots, q^{-s_d})$ that

$$\sum_{i=1}^{2^d} R_i(q^{-s_1}, \dots, q^{-s_d})$$

has possible simple poles on the linear subvarieties

$$s_k + \dots + s_d = 0, 1, \dots, d - k + 1, \quad k = 1, \dots, d.$$

□

Proof of Corollary 1.9. From property 1 we see that each function $R_i(q^{-s_1}, \dots, q^{-s_d})$ is the product of a rational number and products of zeta functions of the type

$$Z(\mathbb{F}_q[T], s_k + \dots + s_d - 1), \quad Z(\mathbb{F}_q[T], s_k + \dots + s_d), \quad Z(\mathbb{F}_q[T], s_k + \dots + s_d + 1),$$

where $k = 1, \dots, d$. The corollary now follows from (3.3). □

4. PROOF OF THEOREM 1.11 AND COROLLARY 1.12

Proof of Theorem 1.11. Part (1) was established in the proof of Theorem 1.8.

We will prove parts (2) and (3) for $d = 2$, the proofs for $d \geq 3$ being a more complicated elaboration on the same idea.

Write

$$b_n b_{n+m} (q^{-s})^n (q^{-w})^{n+m} = b_n b_{n+m} (q^{-(s+w)})^n (q^{-w})^m$$

and substitute in (3.1) to obtain

$$Z_2(K; s, w) = \sum_{n=0}^{\infty} b_n (q^{-(s+w)})^n \sum_{m=0}^{\infty} b_{n+m} (q^{-w})^m. \quad (4.1)$$

Let $u = q^{-(s+w)}$ and $v = q^{-w}$. Decompose the sum (4.1) as follows:

$$\begin{aligned}
& \sum_{n=0}^{\infty} b_n u^n \sum_{m=0}^{\infty} b_{n+m} v^m \\
&= \sum_{n=0}^{2g-2} b_n u^n \sum_{m=0}^{\infty} b_{n+m} v^m + \sum_{n=2g-1}^{\infty} b_n u^n \sum_{m=0}^{\infty} b_{n+m} v^m \\
&= \sum_{n=0}^{2g-2} b_n u^n \sum_{m=0}^{2g-2-n} b_{m+n} v^m + \sum_{n=0}^{2g-2} b_n u^n \sum_{m=2g-1-n}^{\infty} b_{m+n} v^m + \sum_{n=2g-1}^{\infty} b_n u^n \sum_{m=0}^{\infty} b_{n+m} v^m \\
&= A(u, v) + B(u, v) + C(u, v).
\end{aligned}$$

It is immediate that

$$A(u, v) = \sum_{n=0}^{2g-2} \sum_{m=0}^{2g-2-n} b_n b_{m+n} u^n v^m$$

is analytic for all (s, w) in \mathbb{C}^2 and is a rational function in q^{-s} and q^{-w} .

To analyze $B(u, v)$, first observe that if $m \geq 2g-1-n$, then $m+n > 2g-2$. Applying Proposition 3.1 and summing geometric series yields

$$\begin{aligned}
\sum_{m=2g-1-n}^{\infty} b_{m+n} v^m &= \frac{h_K}{q-1} \sum_{m=2g-1-n}^{\infty} (q^{m+n-g+1} - 1) v^m \\
&= \frac{h_K}{q-1} \left[q^{n-g+1} \sum_{m=2g-1-n}^{\infty} (qv)^m - \sum_{m=2g-1-n}^{\infty} v^m \right] \\
&= \frac{h_K}{q-1} \left[q^{n-g+1} \frac{(qv)^{2g-1-n}}{1-qv} - \frac{v^{2g-1-n}}{1-v} \right] \\
&= \frac{h_K}{q-1} \left[\frac{q^g}{1-qv} - \frac{1}{1-v} \right] v^{2g-1-n}.
\end{aligned}$$

Substitute this computation into $B(u, v)$ to obtain

$$B(u, v) = \frac{h_K}{q-1} \left[\frac{q^g}{1-qv} - \frac{1}{1-v} \right] v^{2g-1} \sum_{n=0}^{2g-2} b_n (uv^{-1})^n.$$

This expression shows that $B(u, v)$ has a meromorphic continuation to all (s, w) in \mathbb{C}^2 and is a rational function in q^{-s} and q^{-w} . Further, this expression shows that $B(u, v)$ has simple poles at $v = 1$ and $v = q^{-1}$, which correspond to the linear subvarieties $w = 0$ and $w = 1$, respectively.

To analyze $C(u, v)$, first observe that if $n \geq 2g - 1$, then $m + n > 2g - 2$ for all $m \geq 0$. Applying Proposition 3.1 and summing geometric series yields

$$\begin{aligned} \sum_{m=0}^{\infty} b_{m+n} v^m &= \frac{h_K}{q-1} \sum_{m=0}^{\infty} (q^{m+n-g+1} - 1) v^m \\ &= \frac{h_K}{q-1} \left[q^{n-g+1} \sum_{m=0}^{\infty} (qv)^m - \sum_{m=0}^{\infty} v^m \right] \\ &= \frac{h_K}{q-1} \left[q^{n-g+1} \frac{1}{1-qv} - \frac{1}{1-v} \right]. \end{aligned}$$

Substitute this computation into $C(u, v)$, apply Proposition 3.1, and sum geometric series to obtain

$$\begin{aligned} C(u, v) &= \frac{h_K}{q-1} \left[\frac{q^{-g+1}}{1-qv} \sum_{n=2g-1}^{\infty} b_n (qu)^n - \frac{1}{1-v} \sum_{n=2g-1}^{\infty} b_n u^n \right] \\ &= \frac{h_K}{q-1} \times \\ &\quad \left[\frac{q^{-g+1}}{1-qv} \sum_{n=2g-1}^{\infty} \left[\frac{h_K}{q-1} (q^{n-g+1} - 1) \right] (qu)^n - \frac{1}{1-v} \sum_{n=2g-1}^{\infty} \left[\frac{h_K}{q-1} (q^{n-g+1} - 1) \right] u^n \right] \\ &= \left(\frac{h_K}{q-1} \right)^2 \times \\ &\quad \left[\frac{q^{-g+1}}{1-qv} \left[q^{-g+1} \sum_{n=2g-1}^{\infty} (q^2 u)^n - \sum_{n=2g-1}^{\infty} (qu)^n \right] - \frac{1}{1-v} \left[q^{-g+1} \sum_{n=2g-1}^{\infty} (qu)^n - \sum_{n=2g-1}^{\infty} u^n \right] \right] \\ &= \left(\frac{h_K}{q-1} \right)^2 \times \\ &\quad \left[\frac{q^{-g+1}}{1-qv} \left[q^{-g+1} \frac{(q^2 u)^{2g-1}}{1-q^2 u} - \frac{(qu)^{2g-1}}{1-qu} \right] - \frac{1}{1-v} \left[q^{-g+1} \frac{(qu)^{2g-1}}{1-qu} - \frac{u^{2g-1}}{1-u} \right] \right] \\ &= \left(\frac{h_K}{q-1} \right)^2 \times \\ &\quad \left[\frac{(q^2)^g}{(1-qv)(1-q^2 u)} - \frac{q^g}{(1-qv)(1-qu)} - \frac{q^g}{(1-v)(1-qu)} + \frac{1}{(1-v)(1-u)} \right] u^{2g-1}. \end{aligned}$$

This expression shows that $C(u, v)$ has a meromorphic continuation to all (s, w) in \mathbb{C}^2 and is a rational function in q^{-s} and q^{-w} . Further, this expression shows that $C(u, v)$ has possible simple poles at $v = 1$, $v = q^{-1}$, $u = 1$, $u = q^{-1}$, and $u = q^{-2}$, which correspond to the linear subvarieties $w = 0$, $w = 1$, $s + w = 0$, $s + w = 1$, and $s + w = 2$, respectively. \square

Proof of Corollary 1.12. First observe that the polynomial

$$Q(u, v) = (1 - qv) (1 - q^2u) (1 - qu)(1 - v)(1 - u) \prod_{n=0}^{2g-2} v^n$$

has degree 3 in u and degree $1 + 2 + \cdots + 2g$ in v .

Because

$$A(u, v) = \sum_{n=0}^{2g-2} \sum_{m=0}^{2g-2-n} b_n b_{m+n} u^n v^m$$

has degree $\leq 2g - 2$ in u and degree $\leq 2g - 2$ in v , $Q(u, v)A(u, v)$ has degree $\leq 2g + 1$ in u and degree $\leq (1 + 2 + \cdots + 2g) + 2g - 2$ in v .

Write

$$\sum_{n=0}^{2g-2} b_n (uv^{-1})^n = \frac{\sum_{k=0}^{2g-2} b_k u^k \prod_{\substack{n=0 \\ n \neq k}}^{2g-2} v^n}{\prod_{n=0}^{2g-2} v^n},$$

so that

$$B(u, v) = \frac{h_K}{q-1} \left[\frac{q^g(1-v) - (1-qv)}{(1-qv)(1-v)} \right] v^{2g-1} \frac{\sum_{k=0}^{2g-2} b_k u^k \prod_{\substack{n=0 \\ n \neq k}}^{2g-2} v^n}{\prod_{n=0}^{2g-2} v^n}.$$

Then

$$Q(u, v)B(u, v) = \frac{h_K}{q-1} (1 - q^2u) (1 - qu)(1 - u) [q^g(1 - v) - (1 - qv)] v^{2g-1} \sum_{k=0}^{2g-2} b_k u^k \prod_{\substack{n=0 \\ n \neq k}}^{2g-2} v^n$$

has degree $\leq 2g + 1$ in u and degree $(1 + 2 + \cdots + 2g - 2) + 2g$ in v .

Similarly, write

$$\begin{aligned} C(u, v) &= \frac{h_K^2}{(q-1)^2} \frac{1}{(1 - qv) (1 - q^2u) (1 - qu)(1 - v)(1 - u)} \\ &\times [(1 - qu)(1 - v)(1 - u)q^2 - (1 - q^2u) (1 - v)(1 - u)q^g \\ &\quad - (1 - qv) (1 - q^2u) (1 - u)q^g + (1 - qv) (1 - q^2u) (1 - qu)] u^{2g-1}. \end{aligned}$$

Then

$$\begin{aligned} Q(u, v)C(u, v) &= \frac{h_K^2}{(q-1)^2} \prod_{n=0}^{2g-2} v^n \\ &\times [(1 - qu)(1 - v)(1 - u) (q^2)^g - (1 - q^2u) (1 - v)(1 - u)q^g \\ &\quad - (1 - qv) (1 - q^2u) (1 - u)q^g + (1 - qv) (1 - q^2u) (1 - qu)] u^{2g-1} \end{aligned}$$

has degree $2g + 1$ in u and degree $(1 + 2 + \cdots + 2g - 2) + 1$ in v .

The corollary follows by comparing the degrees of the polynomials on the RHS of the expression

$$Q(u, v)Z_2(K; s, w) = Q(u, v)A(u, v) + Q(u, v)B(u, v) + Q(u, v)C(u, v).$$

□

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